

PATENT

ATTORNEY DOCKET NO. 46884-5453

IAP20 REC'D 30 JAN 2006

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Xin GAO et al.	)	Confirmation No.: Unassigned
	)	
Application No.: NEW	)	Group Art Unit: Unassigned
	)	
Filed: January 30, 2006	)	Examiner: Unassigned
	)	
For: SEMICONDUCTOR LASER DIODE	)	

Commissioner for Patents  
 U.S. Patent and Trademark Office  
**Customer Window Mail Stop: PCT AF Issue Fee**  
 Alexandria, VA 22314

Sir:

**INFORMATION DISCLOSURE STATEMENT (IDS)**

**Under 37 C.F.R. § 1.97(b):** Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicant brings to the attention of the Examiner the documents listed on the attached PTO Form 1449. To the undersigned's knowledge, this IDS is being filed before the mailing date of a first Office Action on the merits, before the mailing date of a first Office Action on the merits after filing an RCE under § 1.114, or within three months of the application filing date.

**Under 37 C.F.R. § 1.97(c):** Pursuant to 37 C.F.R. §§ 1.56 and 1.97(c), Applicant brings to the attention of the Examiner the documents listed on the attached PTO Form 1449. This IDS is being filed after the events recited in § 1.97(b) but, to the undersigned's knowledge, before the mailing date of a Final Office Action, a Notice of Allowance, or another action that closes prosecution in the application.

- The fee of \$180.00 set forth in § 1.17(p) is included herein; or
- Applicant submits that each item of information contained in this IDS was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS.

**Under 37 C.F.R. § 1.97(d):** Pursuant to 37 C.F.R. §§ 1.56 and 1.97(d), Applicant brings to the attention of the Examiner the documents listed on the attached PTO Form 1449. This IDS is being filed after the events recited in § 1.97(c) but before payment of the issue fee.

- The fee of \$180.00 set forth in § 1.17(p) is included herein; and
- Applicant submits that each item of information contained in this IDS was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS.

**Under 37 C.F.R. § 1.97(i):** Pursuant to 37 C.F.R. §§ 1.56 and 1.97(i), Applicant brings to the attention of the Examiner the documents listed on the attached PTO Form 1449. This IDS is being filed after the events recited in § 1.97(d). Applicant requests that the IDS be placed in the file.

**IAP20 Rec'd PTO/USPTO 30 JAN 2006**

A search report or other listing of documents from a counterpart, related, or other application dated September 7, 2004 and having documents cited thereon is attached for the Examiner's consideration. Any of these documents not previously cited, and any additional documents are listed on the PTO Form 1449.

Applicant respectfully requests that the Examiner consider the listed documents and evidence that consideration by making appropriate notations on the attached form. As for any document listed on the accompanying PTO-1449 that is in a language other than English, relevance can be understood from an enclosed English abstract or at least partial translation or from mention in the specification or in a search report for a corresponding application.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that any of the listed documents are material or constitute "prior art." If it should be determined that any of the listed documents do not constitute "prior art" under United States law, Applicant reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such documents.

Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should any of the documents be applied against the claims of the present application.

Except for issue fees payable under 37 C.F.R. § 1.18, the Commissioner is hereby authorized by this paper to charge any additional fees during the entire pendency of this Application, including fees due under 37 C.F.R. § 1.16 and 1.17 which may be required and including any required extension of time fees, or credit any overpayment to Deposit Account No. 50-0573. This paragraph is intended to be a CONSTRUCTIVE PETITION FOR EXTENSION OF TIME in accordance with 37 C.F.R. § 1.136(a)(3).

Respectfully submitted,

**DRINKER, BIDDLE & REATH LLP**



Peter J. Sistare  
Registration No. 48,183

Dated: January 30, 2006

**CUSTOMER NO. 055694**

**DRINKER, BIDDLE & REATH LLP**  
1500 K Street, N.W., Suite 1100  
Washington, D.C. 20005-1209  
Tel: 202.842.8800; Fax: 202.842.8465

<b>INFORMATION DISCLOSURE CITATION</b>  (Use several sheets if necessary)	Attorney Docket No.: 46884-5453	Serial No.: NEW 1566265
	Applicants Xin GAO et al.	Page 1 of 1
<b>PTO Form 1449</b>	Filing Date: January 30, 2006	Group Art Unit: Unassigned

U.S. PATENT DOCUMENTS 2006 30 JAN 2006

## FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Sub Class	Translation YES	NO
		5-48200	Feb. 26, 1993	JP			Abstract	
		06-252489	Sept. 9, 1994	JP			Abstract	
		11-072743	Mar. 16, 1999	JP			Abstract	
		3071360 (Corresponds to JP 07-098402)	May 26, 2000	JP			Abstract	
		11-307879	Nov. 5, 1999	JP			Abstract	
		07-98402	Apr. 11, 1995	JP			Abstract	
		06-181356	June 28, 1994	JP			Abstract	
		2002-239773	Aug. 28, 2002	JP			Abstract	
		02/054116	Jul. 11, 2002	WO			Abstract	
		06-244505	Sept. 2, 1994	JP			Abstract	
		06-196779	Jul. 15, 1994	JP			Abstract	

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

Examiner	/Marcia Golub/	Date Considered	05/09/2008
Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.			

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.